# Exhibit 1

### IN THE UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF TEXAS **MARSHALL DIVISION**

#: 17280

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Plaintiff,

Case No. 2:23-CV-00103-JRG-RSP

V.

SAMSUNG ELECTRONICS CO., LTD., SAMSUNG ELECTRONICS AMERICA, INC.

Defendants.

#### REBUTTAL EXPERT REPORT OF ERIK DE LA IGLESIA **REGARDING VALIDITY**

Date: October 14, 2024

Erik de la Iglesia

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		corresponding secure Internet data connection for the device indicated in the corresponding request"
	3.	Claim 1[g]: "to, for each received message, map the application identifier in the message to a software process corresponding to the application identifier, and forward the application data in the message to the software process via a secure interprocess communication service."
	4.	Claim 2: "The network system of claim 1, the network message server further to collect and buffer multiple requests to transmit application data to a particular one of the devices."
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	3.	Claim 1[f]: "based on the particular agent identifier, deliver the message content to the particular device agent over the agent communication bus."
	4.	Claim 3: "The end-user device recited in claim 1, wherein the message content comprises information associated with a service usage."
	5.	Claim 7: "The end-user device recited in claim 1, wherein the message content comprises a service offer, an advertisement, or a transaction offer."
	6.	Claim 8: "The end-user device recited in claim 1, wherein the message content comprises information from a third party configured to provide control of a service or a billing for a service."
	7.	Claim 9: "The end-user device recited in claim 1, wherein the message content comprises an agent instruction, a setting value, an agent configuration, or a software update."
	8.	Claim 13: "The end-user device recited in claim 1, wherein the service control device link agent is further configured to send a device message to the service control server link element over the service control link."
	9.	Claim 19: "The end-user device recited in claim 1, further comprising a user interface, and wherein the particular device agent is configured to assist in presenting a notification through the user interface, the notification based on the message content."
	10.	Claim 23: "The end-user device recited in claim 1, wherein the service control device link agent is further configured to send a device credential to the network system or receive the device credential from the network system during a service authorization sequence."
	11.	Claim 30[b]: "using an encryption key shared between the service control device link agent and the network element, obtaining a decrypted agent message, the decrypted agent message comprising a particular agent identifier and message content for delivery to a particular device agent of a plurality of device agents on the end-user device, each of the plurality of device agents identifiable by an associated device agent identifier and communicatively coupled to the service control device link agent through an agent communication bus, the particular agent identifier identifying the particular device agent, the message content from a particular server of a plurality of servers communicatively coupled to the network element"
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2.	Claim 1[c]: "memory configured to store an encryption key, the encryption key shared between the service control device link agent and a service control server link element of the network system;"
3.	Claim 1[d]: "wherein the service control device link agent is configured to: receive, over the service control link, an encrypted agent message from the service control server link element,"
4.	Claim 1[e]: "[wherein the service control device link agent is configured to:] using the encryption key, obtain a decrypted agent message, the decrypted agent message comprising a particular agent identifier and message content for delivery to a particular device agent of the plurality of device agents, the particular agent identifier identifying the particular device agent, the message content from a particular server of a plurality of servers communicatively coupled to the service control server link element, and"
5.	Claim 1[f]: "[wherein the service control device link agent is configured to:] based on the particular agent identifier, deliver the message content to the particular device agent over the agent communication bus."
6.	Claim 3: "The end-user device recited in claim 1, wherein the message content comprises information associated with a service usage."
7.	Claim 7: "The end-user device recited in claim 1, wherein the message content comprises a service offer, an advertisement, or a transaction offer."
8.	Claim 8: "The end-user device recited in claim 1, wherein the message content comprises information from a third party configured to provide control of a service or a billing for a service."
9.	Claim 9: "The end-user device recited in claim 1, wherein the message content comprises an agent instruction, a setting value, an agent configuration, or a software update."
10.	Claim 13: "The end-user device recited in claim 1, wherein the service control device link agent is further configured to send a device message to the service control server link element over the service control link."
11.	Claim 19: "The end-user device recited in claim 1, further comprising a user interface, and wherein the particular device agent is configured to assist in presenting a notification through the user interface, the notification based on the message content."
12.	Claim 23: "The end-user device recited in claim 1, wherein the service control device link agent is further configured to send a device credential to the network system or receive the device credential from the network system during a service authorization sequence."
13.	Claim 30[a]: "receiving, over a service control link, an encrypted agent message from a network element, the service control link secured by an encryption

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		protocol, the service control link supporting control-plane communications between a service control device link agent on the end-user device and the network element;"
	14.	Claim 30[b]: "using an encryption key shared between the service control device link agent and the network element, obtaining a decrypted agent message, the decrypted agent message comprising a particular agent identifier and message content for delivery to a particular device agent of a plurality of device agents on the end-user device, each of the plurality of device agents identifiable by an associated device agent identifier and communicatively coupled to the service control device link agent through an agent communication bus, the particular agent identifier identifying the particular device agent, the message content from a particular server of a plurality of servers communicatively coupled to the network element; and"
	15.	Claim 30[c]: "delivering the message content to the particular device agent over the agent communication bus based on the particular agent identifier."
C.		crosoft Exchange ActiveSync Alone or in Combination Does Not Anticipate or nder Obvious the Asserted Claims
	1.	Claim 1[b]: "a plurality of device agents communicatively coupled to the service control device link agent through an agent communication bus, each of the plurality of device agents identifiable by an associated device agent identifier; and"
	2.	Claim 1[e]: [wherein the service control device link agent is configured to:] "using the encryption key, obtain a decrypted agent message, the decrypted agent message comprising a particular agent identifier and message content for delivery to a particular device agent of the plurality of device agents, the particular agent identifier identifying the particular device agent, the message content from a particular server of a plurality of servers communicatively coupled to the service control server link element"
	3.	Claim 1[f]: [wherein the service control device link agent is configured to:] "based on the particular agent identifier, deliver the message content to the particular device agent over the agent communication bus."
	4.	Claim 3: "The end-user device recited in claim 1, wherein the message content comprises information associated with a service usage."
	5.	Claim 7: "The end-user device recited in claim 1, wherein the message content comprises a service offer, an advertisement, or a transaction offer."
	6.	Claim 8: "The end-user device recited in claim 1, wherein the message content comprises information from a third party configured to provide control of a service or a billing for a service."
	7.	Claim 9: "The end-user device recited in claim 1, wherein the message content comprises an agent instruction, a setting value, an agent configuration, or a software update."

	8.	Claim 13: "The end-user device recited in claim 1, wherein the service control device link agent is further configured to send a device message to the service control server link element over the service control link."	181
	9.	Claim 19: "The end-user device recited in claim 1, further comprising a user interface, and wherein the particular device agent is configured to assist in presenting a notification through the user interface, the notification based on the message content."	181
	10.	Claim 23: "The end-user device recited in claim 1, wherein the service control device link agent is further configured to send a device credential to the network system or receive the device credential from the network system during a service authorization sequence."	
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	1.	Claim 1[b]: "an interface to a network to receive network element messages from a plurality of network elements, the received network element messages comprising respective message content and requests for delivery of the respective message content to respective wireless end-user devices, the respective message content including data for, and an identification of, a respective one of the authorized software components".	e
	2.	Claim 1[c]-1[e]: "a message buffer system including a memory and logic" Claim 1[d]: "the memory to buffer content from the received network element messages for which delivery is requested to a given one of the wireless end-user devices" Claim 1[e]: "the logic to determine when one of a plurality of message delivery triggers for the given one of the wireless end-user devices has occurred, wherein for at least some of the received network element messages, the receipt of such a message by the message buffer system is not a message delivery trigger, and for at least one of the message delivery triggers, the trigger is an occurrence of an asynchronous event with time-critical messaging needs"	S
	1.	Claim 5: "The message link server of claim 1, wherein the transport services stact is further to receive, over each of the respective secure message links, upload messages forwarded by the respective device link agents from at least a subset of the device software components, each of the upload messages identifying a corresponding one of the network elements to which the device respective software component has requested delivery, the network server system using the interface to a network to deliver content from the upload messages to the respective identified network elements."	
	2.	Claim 7: "The message link server of claim 1, the device messaging agent on at least one of the wireless end-user devices further to initiate the respective secure Internet data message link to the transport services stack."	192

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	3.	Claim 8: "The message link server of claim 1, further comprising a secure server to provide secure authorization signatures to the given one of the wireless enduser devices, the secure authorization signatures indicating the authorized software components that are allowed to receive data from secure message link messages via the message link server."
	4.	Claim 9: "The message link server of claim 1, wherein one of the message delivery triggers is the expiration of a periodic timer."
	5.	Claim 11: "The message link server of claim 1, wherein one of the message delivery triggers is the receipt of a transmission on the respective secure message link from the device link agent of the given one of the wireless end-user devices, or a response generated to a transmission received from that device link agent." .195
B.	_	penWave Mobile Access Gateway Alone or in Combination Does Not Render vious the Asserted Claims
	1.	Claim 1[a]: "a transport services stack to maintain a respective secure message link through an Internet network between the message link server and a respective device link agent on each of a plurality of wireless end-user devices, each of the wireless end-user devices comprising multiple software components authorized to receive and process data from secure message link messages received via a device link agent on that device;"
	2.	Claim 1[b]: "an interface to a network to receive network element messages from a plurality of network elements, the received network element messages comprising respective message content and requests for delivery of the respective message content to respective wireless end-user devices, the respective message content including data for, and an identification of, a respective one of the authorized software components; and"
	3.	Claim 1[e]: "the logic to determine when one of a plurality of message delivery triggers for the given one of the wireless end-user devices has occurred, wherein for at least some of the received network element messages, the receipt of such a message by the message buffer system is not a message delivery trigger, and for at least one of the message delivery triggers, the trigger is an occurrence of an asynchronous event with time-critical messaging needs, and"
	4.	Claim 1[f]: "upon determining that one of the message delivery triggers has occurred, the logic further to supply one or more messages comprising the buffered content to the transport services stack for delivery on the secure message link maintained between the transport services stack and a device link agent on the given one of the wireless end-user devices."
	5.	Claim 5: "The message link server of claim 1, wherein the transport services stack is further to receive, over each of the respective secure message links, upload messages forwarded by the respective device link agents from at least a subset of the device software components, each of the upload messages identifying a corresponding one of the network elements to which the device respective software component has requested delivery, the network server system using the

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		interface to a network to deliver content from the upload messages to the respective identified network elements."
	6.	Claim 7: "The message link server of claim 1, the device messaging agent on at least one of the wireless end-user devices further to initiate the respective secure Internet data message link to the transport services stack."
	7.	Claim 8: "The message link server of claim 1, further comprising a secure server to provide secure authorization signatures to the given one of the wireless enduser devices, the secure authorization signatures indicating the authorized software components that are allowed to receive data from secure message link messages via the message link server."
	8.	Claim 9: "The message link server of claim 1, wherein one of the message delivery triggers is the expiration of a periodic timer."
	9.	Claim 11: "The message link server of claim 1, wherein one of the message delivery triggers is the receipt of a transmission on the respective secure message link from the device link agent of the given one of the wireless end-user devices, or a response generated to a transmission received from that device link agent." .221
C.		crosoft Exchange Server System Does Not Anticipate or Render Obvious the serted Claims
	1.	Claim 1[a]: "a transport services stack to maintain a respective secure message link through an Internet network between the message link server and a respective device link agent on each of a plurality of wireless end-user devices, each of the wireless end-user devices comprising multiple software components authorized to receive and process data from secure message link messages received via a device link agent on that device;"
	2.	Claim 1[b]: "an interface to a network to receive network element messages from a plurality of network elements, the received network element messages comprising respective message content and requests for delivery of the respective message content to respective wireless end-user devices, the respective message content including data for, and an identification of, a respective one of the authorized software components; and"
	3.	Claim 1[c]: "a message buffer system including a memory and logic," Claim 1[d] "the memory to buffer content from the received network element messages for which delivery is requested to a given one of the wireless end-user devices,"226
	4.	Claim 1[e]: "the logic to determine when one of a plurality of message delivery triggers for the given one of the wireless end-user devices has occurred, wherein for at least some of the received network element messages, the receipt of such a message by the message buffer system is not a message delivery trigger, and for at least one of the message delivery triggers, the trigger is an occurrence of an asynchronous event with time-critical messaging needs, and"
	5.	Claim 5: "The message link server of claim 1, wherein the transport services stack is further to receive, over each of the respective secure message links, upload messages forwarded by the respective device link agents from at least a subset of

		the device software components, each of the upload messages identifying a corresponding one of the network elements to which the device respective software component has requested delivery, the network server system using the interface to a network to deliver content from the upload messages to the respective identified network elements."	
	6.	Claim 7: "The message link server of claim 1, the device messaging agent on at least one of the wireless end-user devices further to initiate the respective secure Internet data message link to the transport services stack."	.228
	7.	Claim 8: "The message link server of claim 1, further comprising a secure server to provide secure authorization signatures to the given one of the wireless enduser devices, the secure authorization signatures indicating the authorized software components that are allowed to receive data from secure message link messages via the message link server."	
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#### В. Lee Alone or in Combination Does Not Render Obvious the Asserted Claims

- 239. Dr. Foster references IPR2024-00003, as supposedly showing the obviousness of the '117 Patent. Dr. Foster says he "agree[s] with the analysis of the IPR petition and its supporting materials," and says he "reproduce[s] some of that analysis below and adopt[s] it as [his] own opinions." Foster Rpt. ¶ 552. However, what Dr. Foster neglects to acknowledge is that the Patent Trial and Appeal Board of the United States Patent and Trademark Office already considered and disagreed with the analysis in IPR2024-00003. See IPR2024-00003 at Paper No. 8.
- 240. Furthermore, there are numerous reasons why Lee alone or in combination with other references does not disclose or render obvious the asserted claims, as explained in further detail below.
- 241. Moreover, there is significant evidence of secondary considerations (e.g., commercial success, long-felt need, failures of others, praise, awards, industry recognition, copying) that further support my opinions that the asserted claims would not have been obvious to a POSITA, which are discussed below and hereby incorporated by reference.
  - 1. Claim 1/d]: "the network message server to generate corresponding Internet data messages based on the requests, each such message containing at least one application identifier for an indicated application and application data corresponding to one of the requests."
- 242. Claim limitation 1[d] recites: "the network message server to generate corresponding Internet data messages based on the requests, each such message containing at least one application identifier for an indicated application and application data corresponding to one of the requests."
- Dr. Foster acknowledges that a requirement of this limitation is that "each' 243. message generated by the network message server [must] contain an 'application identifier' and 'application data.'" Foster Rpt. ¶ 585. I disagree, however, that Lee teaches or renders obvious this requirement.
- 244. For example, Dr. Foster does not provide any express teaching from Lee that "each" message would include application data. Thus, there is no dispute that Lee does *not* actually teach

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as much.

- His only allegation that this requirement would have been obvious is that "a 245. message with only an application identifier and no application data would be pointless." Foster Rpt. ¶ 585. However, that assertion is, in my opinion, based on a misunderstanding of how the Lee system worked to "invoke" applications.
- 246. Specifically, Lee teaches "selectively invoke[ing] the application 260 corresponding to the associated application ID"—in other words, it tells the application to begin executing so that the application can itself retrieve the message data. Lee ¶[29]. "Invoking," in the context of computer software processes, an application does not mean "forwarding data to the application," but instead means causing the application to execute. By selectively invoking applications in this manner, it "reduc[es] a number of the applications resident in memory," thereby reducing "power consumption." Id.; see also id. FIG. 8 (showing the integrated push service agent "invok[ing] application corresponding to app ID").
- 247. Thus, Lee uses the application ID to inform applications that they should begin running. A POSITA would recognize that an application that receives an invocation request with no application ID pursuant to a push message could be programmed to contact its associated application server through a direct connection to retrieve any needed data from that server without the push client needing to act as an intermediary.
- For example, an important use-case for such an implementation would be if the application server wanted to provide highly sensitive information to an application, and wished to use a *direct connection* to provide that information. Normally, this is difficult to accomplish, because the application might not be running on the device. But Lee's application invocation process allows a way for the application server to request that the relevant application be *invoked*, and the application under such a scenario would be programmed to contact the application server. No application data would need to be transmitted along with the invocation message, because contacting the application server upon invocation would be part of the application's programming.
  - 249. One example of this implementation (which is consistent with Lee's teachings) is

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a scenario where a bank application server needs to transmit data securely to a user's banking application, but wants to do so over a direct connection. For instance, if the Chase banking network had an important security alert for the user, it could simply inform Lee's system to invoke the Chase banking application (without actually providing application data to the application); the banking app would then contact the Chase banking network server upon invocation, and the network server could securely inform the banking app of the security alert. This would avoid the need for complex security mechanisms, and would not involve the invocation message sent to the application including any application data.

- 2. Claim 1[g]: [each device messaging agent, when executing,] to, for each received message, map the application identifier in the message to a software process corresponding to the application identifier, and forward the application data in the message to the software process via a secure interprocess communication service.
  - a. No motivation to combine with Kalibjian.
- 250. Dr. Foster's proposed combination of Kalibjian, memory in the device is "divided into 'separate and distinct secure sections.'" Foster Rpt. ¶ 596. Under the proposed combination, each application would need to operate in a separate partition from other applications, such that no application could potentially have access to information intended for another application (or corrupt other applications). *See* Kalibjian ¶[0020] ("A secure operating system includes secure memory partitions or processing space that insures applications running in one partition 10A cannot gain access and corrupt applications running in another partition 210B."); Foster Rpt. ¶ 617 ("In the Lee-Kalibjian combination, the push client would have been incorporated into one of these secure sections, .... with applications incorporated into other secure sections....").
- 251. Dr. Foster provides two alleged motivations to do so. His first motivation is "to address 'security concerns' such as different applications running on the mobile terminal intercepting or interfering with messages exchanged between Lee's push service agent 250... and application 230." Foster Rpt. ¶ 597.
- 252. This motivation (avoiding intercepting or interfering with messages) fails because he does not allege that Lee's mobile device suffered from any such problem. (I note that neither